# PATENT COOPERATION TREATY REC'D 1 4 MAR 2005

## PCT

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# INTERNATIONAL PRELIMINARY REPORT ON PATENTABILITY

(Chapter II of the Patent Cooperation Treaty)

(PCT Article 36 and Rule 70)

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PCT/JF	onal applicati P2004/0045	571	30.03.2004	g date (day/month/year)	Priority date (day/monthlyear) 04.04.2003
Applicant	1/32		national classification	and IPC	
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## INTERNATIONAL PRELIMINARY REPORT ON PATENTABILITY

International application No. PCT/JP2004/004571

_	Box No. I	Basis of the report					
1	. With regard filed, unless	With regard to the <b>language</b> , this report is based on the international application in the language in which it was filed, unless otherwise indicated under this item.					
	☐ inter ☐ publi	port is based on translations from the original language into the following language, so the language of a translation furnished for the purposes of: Inational search (under Rules 12.3 and 23.1(b)) Identication of the international application (under Rule 12.4) Inational preliminary examination (under Rules 55.2 and/or 55.3)					
2.	With regard have been for report as "or	With regard to the <b>elements*</b> of the international application, this report is based on <i>(replacement sheets which have been furnished to the receiving Office in response to an invitation under Article 14 are referred to in this report as "originally filed" and are not annexed to this report):</i>					
	Description,	Pages					
	1-36	as originally filed					
	Claims, Numl	bers					
	1-58	received on 22.10.2004 with letter of 20.10.2004					
	Drawings, Sh	eets					
	1/14-14/14	as originally filed					
	☐ a seque	nce listing and/or any related table(s) - see Supplemental Box Relating to Sequence Listing					
3.		endments have resulted in the cancellation of:					
	☐ the ci	escription, pages aims, Nos.					
	☐ the di	rawings, sheets/figs					
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4.	nad not been made, since they have been considered to go beyond the disclosure as filed, as indicated in the Supplemental Box (Rule 70.2(c)).						
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	* If item	4 applies, some or all of these sheets may be marked "superseded."					

## INTERNATIONAL PRELIMINARY REPORT ON PATENTABILITY

International application No. PCT/JP2004/004571

Box No. V Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement

1. Statement

Novelty (N)

Yes: Claims

1-58

No: Claims

Inventive step (IS)

Yes: Claims

1-58

No: Claims

Industrial applicability (IA)

Yes: Claims

1-58

No: Claims

2. Citations and explanations (Rule 70.7):

see separate sheet

#### Re Item V

Reasoned statement with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement

Subject: Shift control system and method concerning shift control to switch between successive ranges by means of an actuator

Closest prior art: the type of shift control system and corresponding control methods are generally known, for example from US-A-2002/019287, and are also referred to as shift by wire systems.

Problem: most of these systems use some sort of encoder or potentiometer to acquire information on the actual position of the actuator (as in US-A-2002/019287). It is also known to perform calibration between the actuator and the shift means controlled by the actuator. By this calibration the relative position of the different positions corresponding to the successive ranges relative to some position (eg the neutral switch position) is established. This still requires the presence of such a switch.

Solution: by providing means that will stop the actuator in an end position (that is, a position where no adjacent range is present) and providing means that use this end position as a reference for means that establish a (count) value that is a measure for the relative rotational amount of the actuator.

Of course it is known from the prior art that actuators have end positions with no adjacent range present. It is not known from the available prior art to use this end position as a reference for a relative position establishing means for the rotating actuator. Although in other types of shift mechanisms it has been shown to use end positions as references in calibration routines, it would not seem to be obvious to use this teaching in a range shift actuator calibration device or method.

Thus claim 1, 16, 31, 31, 32, 33 and 46 and dependent claims 2 to 15, 17 to 30, 34 - 45 and 47 -58 meet the requirements of Articles 33(2) and 33(3) PCT.

### INTERNATIONAL PRELIMINARY REPORT ON PATENTABILITY (SEPARATE SHEET)

International application No.

PCT/JP2004/004571

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#### **CLAIMS**

1. (amended) A shift control system (10) having a plurality of successive shift ranges and switching a shift range among said plurality of successive shift ranges via an actuator (42), comprising:

shift means (100) driven by said actuator (42) for switching the shift range; restriction means (110) for restricting, in an endmost shift range among said plurality of successive shift ranges, rotation of said actuator (42) in the direction where no adjacent shift range is present;

rotation control means (40) for rotating said actuator (42);

count means (46) for obtaining a count value according to a relative rotational amount of said actuator (42), and

position setting means (40) for setting, when said actuator (42) is rotated by said rotation control means (40) in the direction in which rotation of said actuator (42) is restricted by said restriction means (110) in said endmost shift range, a reference position of said actuator (42) corresponding to said endmost shift range based on a state of said count value obtained by said count means (46).

2. The shift control system (10) according to claim 1, wherein

said position setting means (40) includes reference position setting means for setting the reference position of said actuator (42) by detecting that said count value obtained by said count means (46) is in a state where a minimum value or a maximum value of said count value is constant for a predetermined period of time.

3. (amended) The shift control system (10) according to claim 2, wherein said position setting means (40) includes reference position setting means for setting a reference position of said actuator (42) corresponding to another shift range different from said endmost shift range, based on a rotatable amount of said actuator

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- (42) between said endmost shift range and said another shift range.
- 4. (amended) The shift control system (10) according to claim 2, wherein said position setting means (40) includes reference position setting means for setting, when said shift means (100) switches said endmost shift range to another shift range, a reference position of said actuator (42) corresponding to said another shift range.
- 5. (amended) The shift control system (10) according to claim 4, wherein said position setting means (40) includes detection means for detecting a rotatable amount of said actuator (42) based on the reference position corresponding to said endmost shift range and the reference position corresponding to said another shift range.
- 6. (amended) The shift control system (10) according to claim 2, wherein said position setting means (40) includes reference position setting means for setting a reference position of said actuator (42) corresponding to another shift range at a predetermined timing in order to correct a backlash due to secular change of said shift means (100) or said restriction means (110).
  - 7. (amended) The shift control system (10) according to claim 6, wherein said position setting means (40) includes detection means for detecting a rotatable amount of said actuator (42) based on the reference position corresponding to said endmost shift range and the reference position corresponding to said another shift range.
    - 8. (amended) The shift control system (10) according to claim 1, wherein said position setting means (40) includes reference position setting means for

setting a reference position of said actuator (42) corresponding to another shift range different from said endmost shift range, based on a rotatable amount of said actuator (42) between said endmost shift range and said another shift range.

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9. (amended) The shift control system (10) according to claim 1, wherein said position setting means (40) includes reference position setting means for setting, when said shift means (100) switches said endmost shift range to another shift range, a reference position of said actuator (42) corresponding to said another shift range.

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10. (amended) The shift control system (10) according to claim 9, wherein said position setting means (40) includes detection means for detecting a rotatable amount of said actuator (42) based on the reference position corresponding to said endmost shift range and the reference position corresponding to said another shift range.

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11. (amended) The shift control system (10) according to claim 1, wherein said position setting means (40) includes reference position setting means for setting a reference position of said actuator (42) corresponding to another shift range at a predetermined timing in order to correct a backlash due to secular change of said shift means (100) or said restriction means (110).

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12. (amended) The shift control system (10) according to claim 11, wherein said position setting means (40) includes detection means for detecting a rotatable amount of said actuator (42) based on the reference position corresponding to said endmost shift range and the reference position corresponding to said another shift range.

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- 13. The shift control system (10) according to any of claims 1-12, wherein said rotation control means (40) includes means for making smaller an output per unit time of said actuator (42) driven for setting the reference position of said actuator (42) than an output per unit time of said actuator (42) driven for switching the shift range.
- 14. The shift control system (10) according to any of claims 1-12, wherein said position setting means (40) includes setting means for setting, based on said reference position, a target rotational position, to be attained when the shift range is switched, of said actuator (42) in the shift range with said reference position being set.
- 15. The shift control system (10) according to claim 14, wherein said rotation control means (40) includes adjustment means for adjusting, when the shift range is switched, the rotational amount of said actuator (42) to allow said actuator (42) to attain said target rotational position by rotating said actuator (42) to drive said shift means (100).
- 16. (amended) A shift control system (10) having a plurality of successive shift ranges and switching a shift range among said plurality of successive shift ranges via an actuator (42), comprising:
- a shift component (100) driven by said actuator (42) for switching the shift range;
- a restriction component (110) for restricting, in an endmost shift range among said plurality of successive shift ranges, rotation of said actuator (42) in the direction where no adjacent shift range is present;
  - a rotation control unit (40) for rotating said actuator (42);
- a count unit (46) for obtaining a count value according to a relative rotational amount of said actuator (42); and

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a position setting unit (40) for setting, when said actuator (42) is rotated by said rotation control unit (40) in the direction in which rotation of said actuator (42) is restricted by said restriction unit (110) in said endmost shift range, a reference position of said actuator (42) corresponding to said endmost shift range based on a state of said count value obtained by said count unit (46).

- 17. The shift control system (10) according to claim 16, wherein said position setting unit (40) sets the reference position of said actuator (42) by detecting that said count value obtained by said count unit (46) is in a state where a minimum value or a maximum value of said count value is constant for a predetermined period of time.
- 18. (amended) The shift control system (10) according to claim 17, wherein said position setting unit (40) sets a reference position of said actuator (42) corresponding to another shift range different from said endmost shift range, based on a rotatable amount of said actuator (42) between said endmost shift range and said another shift range.
  - 19. (amended) The shift control system (10) according to claim 17, wherein said position setting unit (40) sets, when said shift component (100) switches said endmost shift range to another shift range, a reference position of said actuator (42) corresponding to said another shift range.
- 20. (amended) The shift control system (10) according to claim 19, wherein said position setting unit (40) detects a rotatable amount of said actuator (42) based on the reference position corresponding to said endmost shift range and the reference position corresponding to said another shift range.

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- 21. (amended) The shift control system (10) according to claim 17, wherein said position setting unit (40) sets a reference position of said actuator (42) corresponding to another shift range at a predetermined timing in order to correct a backlash due to secular change of said shift component (100) or said restriction component (110).
- 22. (amended) The shift control system (10) according to claim 21, wherein said position setting unit (40) detects a rotatable amount of said actuator (42) based on the reference position corresponding to said endmost shift range and the reference position corresponding to said another shift range.
- 23. (amended) The shift control system (10) according to claim 16, wherein said position setting unit (40) sets a reference position of said actuator (42) corresponding to another shift range different from said endmost shift range, based on a rotatable amount of said actuator (42) between said endmost shift range and said another shift range.
- 24. (amended) The shift control system (10) according to claim 16, wherein said position setting unit (40) sets, when said shift component (100) switches said endmost shift range to another shift range, a reference position of said actuator (42) corresponding to said another shift range.
- 25. (amended) The shift control system (10) according to claim 24, wherein said position setting unit (40) detects a rotatable amount of said actuator (42) based on the reference position corresponding to said endmost shift range and the reference position corresponding to said another shift range.
  - 26. (amended) The shift control system (10) according to claim 16, wherein

said position setting unit (40) sets a reference position of said actuator (42) corresponding to another shift range at a predetermined timing in order to correct a backlash due to secular change of said shift component (100) or said restriction component (110).

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27. (amended) The shift control system (10) according to claim 26, wherein said position setting unit (40) detects a rotatable amount of said actuator (42) based on the reference position corresponding to said endmost shift range and the reference position corresponding to said another shift range.

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28. The shift control system (10) according to any of claims 16-27, wherein said rotation control unit (40) makes smaller an output per unit time of said actuator (42) driven for setting the reference position of said actuator (42) than an output per unit time of said actuator (42) driven for switching the shift range.

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29. The shift control system (10) according to any of claims 16-27, wherein said position setting unit (40) sets, based on said reference position, a target rotational position, to be attained when the shift range is switched, of said actuator (42) in the shift range with said reference position being set.

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30. The shift control system (10) according to claim 29, wherein said rotation control unit (40) adjusts, when the shift range is switched, the rotational amount of said actuator (42) to allow said actuator (42) to attain said target rotational position by rotating said actuator (42) to drive said shift component (100).

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31. (amended) A shift control method for switching a shift range among a plurality of successive shift ranges via an actuator (42), comprising the steps of: rotating by said actuator (42) shift means (100) for switching the shift range;

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stopping rotation of said actuator (42) by restriction means (110) for restricting, in an endmost shift range among said plurality of successive shift ranges, rotation of said actuator (42) in the direction where no adjacent shift range is present;

detecting a reference position corresponding to said endmost shift range based on a position where said stopping is effected; and

determining, based on said reference position, a target rotational position when the shift range is switched by said actuator (42).

32. (amended) A shift control method for switching a shift range among a plurality of successive shift ranges via an actuator (42), comprising the steps of rotating by said actuator (42) a shift component (100) for switching the shift range;

stopping rotation of said actuator (42) by a restriction component (110) for restricting, in an endmost shift range among said plurality of successive shift ranges, rotation of said actuator (42) in the direction where no adjacent shift range is present;

detecting a reference position corresponding to said endmost shift range based on a position where said stopping is effected; and

determining, based on said reference position, a target rotational position when the shift range is switched by said actuator (42).

33. (amended) A shift range switching device of an automatic transmission mounted on a vehicle, comprising:

shift means (100) for switching a shift position to one of a plurality of successive shift positions by rotating an actuator (42);

storage means for storing said one of shift positions resulting from switching by said shift means (100);

first restriction means for restricting, in a first shift position corresponding to one end position among said plurality of successive shift positions, rotation of said actuator

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(42) in a direction where no adjacent shift position is present; and control means (40) for controlling rotation of said actuator (42), said control means (40) including

first position setting means for setting, as a first reference position in said first shift position, a position where the rotation of said actuator (42) is stopped by said first restriction means,

electric power supply control means for permitting shut-off of electric power supply to said shift range switching device for said first shift position, and

reference position re-setting means for setting again said first reference position by said first position setting means, when electric power supply is resumed after said shut-off of electric power supply, on the condition that said shift position stored in said storage means is unknown.

34. (amended) The shift range switching device of an automatic transmission according to claim 33, further comprising second restriction means for restricting, in a second shift position corresponding to the other end position among said plurality of successive shift positions, rotation of said actuator (42) in a direction where no adjacent shift position is present, wherein

said control means (40) further includes

second position setting means for setting, as a second reference position in said second shift position, a position where the rotation of said actuator (42) is stopped by said second restriction means, according to re-setting of said first reference position by said reference position re-setting means, and

movable range calculation means for calculating a movable range of said actuator (42) based on said first reference position re-set by said reference position resetting means and said second reference position set by said second position setting means.

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35. The shift range switching device of an automatic transmission according to claim 34, further comprising count means (46) for obtaining a count value according to a rotational amount of said actuator (42), wherein

said position setting means includes reference position setting means for setting said reference position of said actuator (42) by detecting that said count value obtained by said count means (46) is in a state where a minimum value or a maximum value of said count value is constant for a predetermined period of time.

36. (amended) The shift range switching device of an automatic transmission according to claim 34, wherein

said first restriction means includes means for restricting the rotation of said actuator (42) in said direction where no adjacent shift position is present, in a manner that the rotation of said actuator (42) is restricted in a direction of contracting a detent spring (110), and

said second restriction means includes means for restricting the rotation of said actuator (42) in said direction where no adjacent shift position is present, in a manner that the rotation of said actuator (42) is restricted in a direction of pulling said detent spring (110).

37. The shift range switching device of an automatic transmission according to claim 33, wherein

said control means (40) further includes determination means for determining a first target rotational position to be attained when the shift position is switched by said actuator (42) to said first shift position, based on said first reference position re-set by said reference position re-setting means.

38. (amended) The shift range switching device of an automatic transmission according to claim 37, further comprising second restriction means for restricting, in a

second shift position corresponding to the other end position among said plurality of successive shift positions, rotation of said actuator (42) in a direction where no adjacent shift position is present, wherein

said control means (40) further includes

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second position setting means for setting, as a second reference position in said second shift position, a position where the rotation of said actuator (42) is stopped by said second restriction means, according to re-setting of said first reference position by said reference position re-setting means, and

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determination means for determining a second target rotational position to be attained when the shift position is switched by said actuator (42) to said second shift position, based on said second reference position.

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39. The shift range switching device of an automatic transmission according to claim 38, further comprising count means (46) for obtaining a count value according to a rotational amount of said actuator (42), wherein

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said position setting means includes reference position setting means for setting said reference position of said actuator (42) by detecting that said count value obtained by said count means (46) is in a state where a minimum value or a maximum value of said count value is constant for a predetermined period of time.

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40. (amended) The shift range switching device of an automatic transmission according to claim 38, wherein

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said first restriction means includes means for restricting the rotation of said actuator (42) in said direction where no adjacent shift position is present, in a manner that the rotation of said actuator (42) is restricted in a direction of contracting a detent spring (110), and

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said second restriction means includes means for restricting the rotation of said actuator (42) in said direction where no adjacent shift position is present, in a manner

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that the rotation of said actuator (42) is restricted in a direction of pulling said detent spring (110).

41. The shift range switching device of an automatic transmission according to claim 37, further comprising count means (46) for obtaining a count value according to a rotational amount of said actuator (42), wherein

said position setting means includes reference position setting means for setting said reference position of said actuator (42) by detecting that said count value obtained by said count means (46) is in a state where a minimum value or a maximum value of said count value is constant for a predetermined period of time.

42. (amended) The shift range switching device of an automatic transmission according to claim 37, wherein

said first restriction means includes restriction means for restricting the rotation of said actuator (42) in said direction where no adjacent shift position is present, in a manner that the rotation of said actuator (42) is restricted in a direction of contracting a detent spring (110).

43. The shift range switching device of an automatic transmission according to claim 33, further comprising count means (46) for obtaining a count value according to a rotational amount of said actuator (42), wherein

said position setting means includes reference position setting means for setting said reference position of said actuator (42) by detecting that said count value obtained by said count means (46) is in a state where a minimum value or a maximum value of said count value is constant for a predetermined period of time.

44. (amended) The shift range switching device of an automatic transmission according to claim 33, wherein

said first restriction means includes restriction means for restricting the rotation of said actuator (42) in said direction where no adjacent shift position is present, in a manner that the rotation of said actuator (42) is restricted in a direction of contracting a detent spring (110).

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45. The shift range switching device of an automatic transmission according to any of claims 33-44, wherein

said first shift position is a P position allowing a parking mechanism to operate by driving said actuator (42), and

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said second shift position is a non-P position inhibiting said parking mechanism from operating.

46. (amended) A shift range switching device of an automatic transmission mounted on a vehicle, comprising:

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a shift component (100) for switching a shift position to one of a plurality of successive shift positions by rotating an actuator (42);

a storage unit for storing said one of shift positions resulting from switching by said shift component (100);

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a first restriction component for restricting, in a first shift position corresponding to one end position among said plurality of successive shift positions, rotation of said actuator (42) in a direction where no adjacent shift position is present; and

a control unit (40) for controlling rotation of said actuator (42), said control unit (40) including

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a first position setting unit for setting, as a first reference position of said first shift position, a position where the rotation of said actuator (42) is stopped by said first restriction component,

an electric power supply control unit for permitting shut-off of electric power supply to said shift range switching device for said first shift position, and

a reference position re-setting unit for setting again said first reference position by said first position setting unit, when electric power supply is resumed after said shutoff of electric power supply, on the condition that said shift position stored in said storage unit is unknown.

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47. (amended) The shift range switching device of an automatic transmission according to claim 46, further comprising a second restriction component for restricting, in a second position corresponding to the other end position among said plurality of successive shift positions, rotation of said actuator (42) in a direction where no adjacent shift position is present, wherein

said control unit (40) further includes

a second position setting unit for setting, as a second reference position of said second shift position, a position where the rotation of said actuator (42) is stopped by said second restriction component, according to re-setting of said first reference position by said reference position re-setting unit, and

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a movable range calculation unit for calculating a movable range of said actuator (42) based on said first reference position re-set by said reference position re-setting unit and said second reference position set by said second position setting unit.

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48. The shift range switching device of an automatic transmission according to claim 47, further comprising a count unit (46) for obtaining a count value according to a rotational amount of said actuator (42), wherein

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said position setting unit sets said reference position of said actuator (42) by detecting that said count value obtained by said count unit (46) is in a state where a minimum value or a maximum value of said count value is constant for a predetermined period of time.

49. (amended) The shift range switching device of an automatic transmission

according to claim 47; wherein

said first restriction component restricts the rotation of said actuator (42) in said direction where no adjacent shift position is present, in a manner that the rotation of said actuator (42) is restricted in a direction of contracting a detent spring (110), and

said second restriction component restricts the rotation of said actuator (42) in said direction where no adjacent shift position is present, in a manner that the rotation of said actuator (42) is restricted in a direction of pulling said detent spring (110).

50. The shift range switching device of an automatic transmission according to claim 46, wherein

said control unit (40) further includes a setting unit for determining a first target rotational position to be attained when the shift position is switched by said actuator (42) to said first shift position, based on said first reference position re-set by said reference position re-setting unit.

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51. (amended) The shift range switching device of an automatic transmission according to claim 50, further comprising a second restriction component for restricting, in a second shift position corresponding to the other end position among said plurality of successive shift positions, rotation of said actuator (42) in a direction where no adjacent shift position is present, wherein

said control unit (40) further includes

a second position setting unit for setting, as a second reference position of said second shift position, a position where the rotation of said actuator (42) is stopped by said second restriction component, according to re-setting of said first reference position by said reference position re-setting unit, and

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a setting unit for determining a second target rotational position to be attained when the shift position is switched by said actuator (42) to said second shift position, based on said second reference position.

52. The shift range switching device of an automatic transmission according to claim 51, further comprising a count unit (46) for obtaining a count value according to a rotational amount of said actuator (42), wherein

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said position setting unit sets said reference position of said actuator (42) by detecting that said count value obtained by said count unit (46) is in a state where a minimum value or a maximum value of said count value is constant for a predetermined period of time.

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53. (amended) The shift range switching device of an automatic transmission according to claim 51, wherein

said first restriction component restricts the rotation of said actuator (42) in said direction where no adjacent shift position is present, in a manner that the rotation of said actuator (42) is restricted in a direction of contracting a detent spring (110), and

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said second restriction component restricts the rotation of said actuator (42) in said direction where no adjacent shift position is present, in a manner that the rotation of said actuator (42) is restricted in a direction of pulling said detent spring (110).

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54. The shift range switching device of an automatic transmission according to claim 50, further comprising a count unit (46) for obtaining a count value according to a rotational amount of said actuator (42), wherein

said position setting unit sets said reference position of said actuator (42) by detecting that said count value obtained by said count unit (46) is in a state where a minimum value or a maximum value of said count value is constant for a predetermined period of time.

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55. (amended) The shift range switching device of an automatic transmission according to claim 50, wherein

said first restriction component restricts the rotation of said actuator (42) in said direction where no adjacent shift position is present, in a manner that the rotation of said actuator (42) is restricted in a direction of contracting a detent spring (110).

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56. The shift range switching device of an automatic transmission according to claim 46, further comprising a count unit (46) for obtaining a count value according to a rotational amount of said actuator (42), wherein

said position setting unit sets said reference position of said actuator (42) by detecting that said count value obtained by said count unit (46) is in a state where a minimum value or a maximum value of said count value is constant for a predetermined period of time.

57. (amended) The shift range switching device of an automatic transmission according to claim 46, wherein

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said first restriction component restricts the rotation of said actuator (42) in said direction where no adjacent shift position is present, in a manner that the rotation of said actuator (42) is restricted in a direction of contracting a detent spring (110).

58. The shift range switching device of an automatic transmission according to any of claims 46-57, wherein

said first shift position is a P position allowing a parking mechanism to operate by driving said actuator (42), and

said second shift position is a non-P position inhibiting said parking mechanism from operating.